

**b nftext**

```

07may10 13:01:44 User233765 Session D204.1
$0.00      0.247 DialUnits File415
$0.00 Estimated cost File415
$0.03 INTERNET
$0.03 Estimated cost this search
$0.03 Estimated total session cost      0.247 DialUnits

SYSTEM:OS - DIALOG OneSearch
File 35:Dissertation Abs Online 1861-2010/Mar
(c) 2010 ProQuest Info&Learning
File 65:Inside Conferences 1993-2010/May 06
(c) 2010 BLDSC all rts. reserv.
File 99:Wilson Appl. Sci & Tech Abs 1983-2010/Mar
(c) 2010 The HW Wilson Co.
File 2:INSPEC 1898-2010/May W1
(c) 2010 The IET
*File 2: IPC codes have been added to the file. See HELP NEWS 2
for details.
File 583:Gale Group Globalbase(TM) 1986-2002/Dec 13
(c) 2002 Gale/Cengage
*File 583: This file is no longer updating as of 12-13-2002.
File 474:New York Times Abs 1969-2010/May 07
(c) 2010 The New York Times
File 475:Wall Street Journal Abs 1973-2010/May 07
(c) 2010 The New York Times
File 347:JAPIO Dec 1976-2010/Jan(Updated 100427)
(c) 2010 JPO & JAPIO
File 256:TecTrends 1982-2010/May W1
(c) 2010 Info.Sources Inc. All rights res.
*File 256: Please see HELP NEWS 256 for the latest
information about TecTrends.

```

```

Set  Items  Description
---  -

```

**? s (forward (20n) contract) and (security or securities)**

```

35: Dissertation Abs Online_1861-2010/Mar
16516 FORWARD
7636 CONTRACT
99 FORWARD(20N)CONTRACT
17573 SECURITY
2364 SECURITIES
8 (FORWARD (20N) CONTRACT) AND (SECURITY OR SECURITIES)

65: Inside Conferences_1993-2010/May 06
6234 FORWARD
2363 CONTRACT
7 FORWARD(20N)CONTRACT
42813 SECURITY
871 SECURITIES
2 (FORWARD (20N) CONTRACT) AND (SECURITY OR SECURITIES)

99: Wilson Appl. Sci & Tech Abs_1983-2010/Mar
8340 FORWARD
5077 CONTRACT
19 FORWARD(20N)CONTRACT

```

## Save-2010-05-07\_110814

```
8428 SECURITY
493 SECURITIES
1 (FORWARD (20N) CONTRACT) AND (SECURITY OR SECURITIES)

2: INSPEC_1898-2010/May W1
139973 FORWARD
16066 CONTRACT
186 FORWARD(20N)CONTRACT
135639 SECURITY(January 1993)
2586 SECURITIES
5 (FORWARD (20N) CONTRACT) AND (SECURITY OR SECURITIES)

583: Gale Group Globalbase(TM)_1986-2002/Dec 13
10536 FORWARD
118224 CONTRACT
201 FORWARD(20N)CONTRACT
29861 SECURITY
67110 SECURITIES
4 (FORWARD (20N) CONTRACT) AND (SECURITY OR SECURITIES)

474: New York Times Abs_1969-2010/May 07
7108 FORWARD
39835 CONTRACT
135 FORWARD(20N)CONTRACT
63055 SECURITY
32034 SECURITIES
0 (FORWARD (20N) CONTRACT) AND (SECURITY OR SECURITIES)

475: Wall Street Journal Abs_1973-2010/May 07
1344 FORWARD
39967 CONTRACT
28 FORWARD(20N)CONTRACT
12513 SECURITY
34380 SECURITIES
0 (FORWARD (20N) CONTRACT) AND (SECURITY OR SECURITIES)

347: JAPIO_Dec 1976-2010/Jan(Updated 100427)
112463 FORWARD
11899 CONTRACT
59 FORWARD(20N)CONTRACT
23230 SECURITY
1170 SECURITIES
0 (FORWARD (20N) CONTRACT) AND (SECURITY OR SECURITIES)

256: TecTrends_1982-2010/May W1
493 FORWARD
371 CONTRACT
1 FORWARD(20N)CONTRACT
3719 SECURITY
170 SECURITIES
0 (FORWARD (20N) CONTRACT) AND (SECURITY OR SECURITIES)

TOTAL: FILES 35,65,99 and ...
303007 FORWARD
241438 CONTRACT
735 FORWARD(20N)CONTRACT
336831 SECURITY
141178 SECURITIES
S1 20 (FORWARD (20N) CONTRACT) AND (SECURITY OR SECURITIES)
```

? s remarketed (20n) debt

```

35: Dissertation Abs Online_1861-2010/Mar
    0  REMARKETED
    5287  DEBT
    0  REMARKETED (20N)  DEBT

65: Inside Conferences_1993-2010/May 06
    0  REMARKETED
    879  DEBT
    0  REMARKETED (20N)  DEBT

99: Wilson Appl. Sci & Tech Abs_1983-2010/Mar
    2  REMARKETED
    585  DEBT
    0  REMARKETED (20N)  DEBT

2: INSPEC_1898-2010/May W1
    3  REMARKETED
    1091  DEBT
    0  REMARKETED (20N)  DEBT

583: Gale Group Globalbase(TM)_1986-2002/Dec 13
    13  REMARKETED
    88161  DEBT
    1  REMARKETED (20N)  DEBT

474: New York Times Abs_1969-2010/May 07
    2  REMARKETED
    18486  DEBT
    0  REMARKETED (20N)  DEBT

475: Wall Street Journal Abs_1973-2010/May 07
    4  REMARKETED
    19556  DEBT
    0  REMARKETED (20N)  DEBT

347: JAPIO_Dec 1976-2010/Jan(Updated 100427)
    1  REMARKETED
    245  DEBT
    0  REMARKETED (20N)  DEBT

256: TecTrends_1982-2010/May W1
    1  REMARKETED
    79  DEBT
    0  REMARKETED (20N)  DEBT

TOTAL: FILES 35,65,99 and ...
      26  REMARKETED
    134369  DEBT
      S2    1  REMARKETED (20N)  DEBT

```

? s debt (20n) fixed (20n) coupon

```

35: Dissertation Abs Online_1861-2010/Mar
    429  COUPON
    5287  DEBT
    29361  FIXED

```

## Save-2010-05-07\_110814

```
5 DEBT (20N) FIXED (20N) COUPON

65: Inside Conferences_1993-2010/May 06
    112 COUPON
    879 DEBT
    6652 FIXED
    0 DEBT (20N) FIXED (20N) COUPON

99: Wilson Appl. Sci & Tech Abs_1983-2010/Mar
    131 COUPON
    585 DEBT
    12533 FIXED
    0 DEBT (20N) FIXED (20N) COUPON

2: INSPEC_1898-2010/May W1
    986 COUPON
    1091 DEBT
    202807 FIXED
    0 DEBT (20N) FIXED (20N) COUPON

583: Gale Group Globalbase(TM)_1986-2002/Dec 13
    2227 COUPON
    24361 FIXED
    88161 DEBT
    15 DEBT (20N) FIXED (20N) COUPON

474: New York Times Abs_1969-2010/May 07
    620 COUPON
    4811 FIXED
    18486 DEBT
    1 DEBT (20N) FIXED (20N) COUPON

475: Wall Street Journal Abs_1973-2010/May 07
    384 COUPON
    2375 FIXED
    19556 DEBT
    0 DEBT (20N) FIXED (20N) COUPON

347: JAPIO_Dec 1976-2010/Jan(Updated 100427)
    245 DEBT
    1176 COUPON
    700838 FIXED
    0 DEBT (20N) FIXED (20N) COUPON

256: TecTrends_1982-2010/May W1
    22 COUPON
    79 DEBT
    408 FIXED
    0 DEBT (20N) FIXED (20N) COUPON

TOTAL: FILES 35,65,99 and ...
    134369 DEBT
    984146 FIXED
    6087 COUPON
S3      21 DEBT (20N) FIXED (20N) COUPON
```

**? s debt (20n) fixed (20n) cash (20n) coupon**

35: Dissertation Abs Online\_1861-2010/Mar

# Save-2010-05-07\_110814

429 COUPON  
4787 CASH  
5287 DEBT  
29361 FIXED  
1 DEBT (20N) FIXED (20N) CASH (20N) COUPON

65: Inside Conferences\_1993-2010/May 06  
112 COUPON  
649 CASH  
879 DEBT  
6652 FIXED  
0 DEBT (20N) FIXED (20N) CASH (20N) COUPON

99: Wilson Appl. Sci & Tech Abs\_1983-2010/Mar  
131 COUPON  
585 DEBT  
1617 CASH  
12533 FIXED  
0 DEBT (20N) FIXED (20N) CASH (20N) COUPON

2: INSPEC\_1898-2010/May W1  
986 COUPON  
1091 DEBT  
5686 CASH  
202807 FIXED  
0 DEBT (20N) FIXED (20N) CASH (20N) COUPON

583: Gale Group Globalbase(TM)\_1986-2002/Dec 13  
2227 COUPON  
24361 FIXED  
43173 CASH  
88161 DEBT  
0 DEBT (20N) FIXED (20N) CASH (20N) COUPON

474: New York Times Abs\_1969-2010/May 07  
620 COUPON  
4811 FIXED  
18486 DEBT  
22946 CASH  
0 DEBT (20N) FIXED (20N) CASH (20N) COUPON

475: Wall Street Journal Abs\_1973-2010/May 07  
384 COUPON  
2375 FIXED  
17413 CASH  
19556 DEBT  
0 DEBT (20N) FIXED (20N) CASH (20N) COUPON

347: JAPIO\_Dec 1976-2010/Jan(Updated 100427)  
245 DEBT  
1176 COUPON  
9935 CASH  
700838 FIXED  
0 DEBT (20N) FIXED (20N) CASH (20N) COUPON

256: TecTrends\_1982-2010/May W1  
22 COUPON  
79 DEBT  
409 CASH  
408 FIXED  
0 DEBT (20N) FIXED (20N) CASH (20N) COUPON

## Save-2010-05-07\_110814

TOTAL: FILES 35,65,99 and ...

	134369	DEBT	
	984146	FIXED	
	106615	CASH	
	6087	COUPON	
S4	1	DEBT (20N) FIXED (20N) CASH (20N) COUPON	

### ? s periodic (20n) (pay or paid) (20n) interest

35: Dissertation Abs Online\_1861-2010/Mar

	11846	PERIODIC	
	9312	PAY	
	12070	PAID	
	81625	INTEREST	
	0	PERIODIC (20N) (PAY OR PAID) (20N) INTEREST	

65: Inside Conferences\_1993-2010/May 06

	1167	PAY	
	236	PAID	
	3978	INTEREST	
	7677	PERIODIC	
	0	PERIODIC (20N) (PAY OR PAID) (20N) INTEREST	

99: Wilson Appl. Sci & Tech Abs\_1983-2010/Mar

	3660	PAY	
	2182	PAID	
	8066	PERIODIC	
	14470	INTEREST	
	0	PERIODIC (20N) (PAY OR PAID) (20N) INTEREST	

2: INSPEC\_1898-2010/May W1

	13093	PAY	
	33699	PAID	
	192836	INTEREST	
	142549	PERIODIC	
	9	PERIODIC (20N) (PAY OR PAID) (20N) INTEREST	

583: Gale Group Globalbase(TM)\_1986-2002/Dec 13

	197	PERIODIC	
	76408	INTEREST	
	62772	PAY	
	34626	PAID	
	0	PERIODIC (20N) (PAY OR PAID) (20N) INTEREST	

474: New York Times Abs\_1969-2010/May 07

	862	PERIODIC	
	55961	INTEREST	
	54642	PAY	
	21047	PAID	
	2	PERIODIC (20N) (PAY OR PAID) (20N) INTEREST	

475: Wall Street Journal Abs\_1973-2010/May 07

	139	PERIODIC	
	24393	PAY	
	6121	PAID	
	34801	INTEREST	
	0	PERIODIC (20N) (PAY OR PAID) (20N) INTEREST	

347: JAPIO\_Dec 1976-2010/Jan(Updated 100427)

```

11485 INTEREST
5208 PAY
6119 PAID
17166 PERIODIC
0 PERIODIC (20N) (PAY OR PAID) (20N) INTEREST

256: TecTrends_1982-2010/May W1
41 PERIODIC
1202 INTEREST
1238 PAY
543 PAID
0 PERIODIC (20N) (PAY OR PAID) (20N) INTEREST

TOTAL: FILES 35,65,99 and ...
188543 PERIODIC
175485 PAY
116643 PAID
472766 INTEREST
S5 11 PERIODIC (20N) (PAY OR PAID) (20N) INTEREST

```

### ? s periodic (20n) contract (20n) payment

```

35: Dissertation Abs Online_1861-2010/Mar
2650 PAYMENT
7636 CONTRACT
11846 PERIODIC
2 PERIODIC (20N) CONTRACT (20N) PAYMENT

65: Inside Conferences_1993-2010/May 06
824 PAYMENT
2363 CONTRACT
7677 PERIODIC
0 PERIODIC (20N) CONTRACT (20N) PAYMENT

99: Wilson Appl. Sci & Tech Abs_1983-2010/Mar
680 PAYMENT
5077 CONTRACT
8066 PERIODIC
0 PERIODIC (20N) CONTRACT (20N) PAYMENT

2: INSPEC_1898-2010/May W1
5886 PAYMENT
16066 CONTRACT
142549 PERIODIC
3 PERIODIC (20N) CONTRACT (20N) PAYMENT

583: Gale Group Globalbase(TM)_1986-2002/Dec 13
197 PERIODIC
25728 PAYMENT
118224 CONTRACT
0 PERIODIC (20N) CONTRACT (20N) PAYMENT

474: New York Times Abs_1969-2010/May 07
862 PERIODIC
7558 PAYMENT
39835 CONTRACT
0 PERIODIC (20N) CONTRACT (20N) PAYMENT

475: Wall Street Journal Abs_1973-2010/May 07

```

```

    139 PERIODIC
    3817 PAYMENT
    39967 CONTRACT
        0 PERIODIC (20N) CONTRACT (20N) PAYMENT

347: JAPIO_Dec 1976-2010/Jan(Updated 100427)
    7915 PAYMENT
    11899 CONTRACT
    17166 PERIODIC
        1 PERIODIC (20N) CONTRACT (20N) PAYMENT

256: TecTrends_1982-2010/May W1
    41 PERIODIC
    371 CONTRACT
    310 PAYMENT
        0 PERIODIC (20N) CONTRACT (20N) PAYMENT

TOTAL: FILES 35,65,99 and ...
    188543 PERIODIC
    241438 CONTRACT
    55368 PAYMENT
    S6        6 PERIODIC (20N) CONTRACT (20N) PAYMENT

```

# ? s debt (20n) coupon

```

35: Dissertation Abs Online_1861-2010/Mar
    429 COUPON
    5287 DEBT
        25 DEBT (20N) COUPON

65: Inside Conferences_1993-2010/May 06
    112 COUPON
    879 DEBT
        0 DEBT (20N) COUPON

99: Wilson Appl. Sci & Tech Abs_1983-2010/Mar
    131 COUPON
    585 DEBT
        0 DEBT (20N) COUPON

2: INSPEC_1898-2010/May W1
    986 COUPON
    1091 DEBT
        8 DEBT (20N) COUPON

583: Gale Group Globalbase(TM)_1986-2002/Dec 13
    2227 COUPON
    88161 DEBT
        88 DEBT (20N) COUPON

474: New York Times Abs_1969-2010/May 07
    620 COUPON
    18486 DEBT
        13 DEBT (20N) COUPON

475: Wall Street Journal Abs_1973-2010/May 07
    384 COUPON
    19556 DEBT
        30 DEBT (20N) COUPON

```



347: JAPIO\_Dec 1976-2010/Jan(Updated 100427)  
     245 DEBT  
    1176 COUPON  
       0 DEBT (20N) COUPON

256: TecTrends\_1982-2010/May W1  
     22 COUPON  
     79 DEBT  
       0 DEBT (20N) COUPON

TOTAL: FILES 35,65,99 and ...  
       134369 DEBT  
       6087 COUPON  
       S7     164 DEBT (20N) COUPON

### ? s call (5n) option

35: Dissertation Abs Online\_1861-2010/Mar  
     8691 OPTION  
    18078 CALL  
       203 CALL (5N) OPTION

65: Inside Conferences\_1993-2010/May 06  
     2382 OPTION  
     2698 CALL  
       2 CALL (5N) OPTION

99: Wilson Appl. Sci & Tech Abs\_1983-2010/Mar  
     3451 OPTION  
     3783 CALL  
       7 CALL (5N) OPTION

2: INSPEC\_1898-2010/May W1  
    21735 OPTION  
    53087 CALL  
       212 CALL (5N) OPTION

583: Gale Group Globalbase(TM)\_1986-2002/Dec 13  
     16108 OPTION  
     25159 CALL  
       293 CALL (5N) OPTION

474: New York Times Abs\_1969-2010/May 07  
     5089 OPTION  
    31879 CALL  
       42 CALL (5N) OPTION

475: Wall Street Journal Abs\_1973-2010/May 07  
     3165 OPTION  
     6347 CALL  
       41 CALL (5N) OPTION

347: JAPIO\_Dec 1976-2010/Jan(Updated 100427)  
     3376 OPTION  
    65328 CALL  
       26 CALL (5N) OPTION

256: TecTrends\_1982-2010/May W1

```

749  OPTION
913  CALL
2    CALL (5N) OPTION

TOTAL: FILES 35,65,99 and ...
207272 CALL
64746  OPTION
S8      828  CALL (5N) OPTION

```

**? s securitiz???? (20n) (sell (5n) common (5n) (stock or securit????))**

```

35: Dissertation Abs Online_1861-2010/Mar
    1964  SELL
    93413 COMMON
    12293 STOCK
    19706 SECURIT????
    46    SECURITIZ????
    0     SECURITIZ???? (20N) (SELL (5N) COMMON (5N) (STOCK OR
        SECURIT????))

65: Inside Conferences_1993-2010/May 06
    168  SELL
    13001 COMMON
    5728 STOCK
    44427 SECURIT????
    10    SECURITIZ????
    0     SECURITIZ???? (20N) (SELL (5N) COMMON (5N) (STOCK OR
        SECURIT????))

99: Wilson Appl. Sci & Tech Abs_1983-2010/Mar
    3     SECURITIZ????
    1865  SELL
    18266 COMMON
    2829  STOCK
    8923  SECURIT????
    0     SECURITIZ???? (20N) (SELL (5N) COMMON (5N) (STOCK OR
        SECURIT????))

2: INSPEC_1898-2010/May W1
    14    SECURITIZ????
    4288  SELL
    230631 COMMON
    31719 STOCK
    137791 SECURIT????
    0     SECURITIZ???? (20N) (SELL (5N) COMMON (5N) (STOCK OR
        SECURIT????))

583: Gale Group Globalbase(TM)_1986-2002/Dec 13
    16243 COMMON
    82445 SELL
    70294 STOCK
    96489 SECURIT????
    114   SECURITIZ????
    0     SECURITIZ???? (20N) (SELL (5N) COMMON (5N) (STOCK OR
        SECURIT????))

474: New York Times Abs_1969-2010/May 07
    14    SECURITIZ????
    26550 COMMON

```

## Save-2010-05-07\_110814

```
34105 SELL
78010 STOCK
94528 SECURIT????
      0 SECURITIZ???? (20N) (SELL (5N) COMMON (5N) (STOCK OR
        SECURIT????))

475: Wall Street Journal Abs_1973-2010/May 07
      18122 COMMON
      27890 SELL
      46624 SECURIT????
      92804 STOCK
      130 SECURITIZ????
      0 SECURITIZ???? (20N) (SELL (5N) COMMON (5N) (STOCK OR
        SECURIT????))

347: JAPIO_Dec 1976-2010/Jan(Updated 100427)
      1582 SELL
     122222 COMMON
      24218 SECURIT????
      41200 STOCK
      18 SECURITIZ????
      0 SECURITIZ???? (20N) (SELL (5N) COMMON (5N) (STOCK OR
        SECURIT????))

256: TecTrends_1982-2010/May W1
      0 SECURITIZ????
      1027 SELL
      1226 COMMON
      600 STOCK
      3871 SECURIT????
      0 SECURITIZ???? (20N) (SELL (5N) COMMON (5N) (STOCK OR
        SECURIT????))

TOTAL: FILES 35,65,99 and ...
      349 SECURITIZ????
     155334 SELL
     539674 COMMON
     335477 STOCK
     476577 SECURIT????
S9      0 SECURITIZ???? (20N) (SELL (5N) COMMON (5N) (STOCK OR
        SECURIT????))
```

? s pd>20021007

Processing  
Processing  
Processing

```
35: Dissertation Abs Online_1861-2010/Mar
>>>Prefix "PD" is undefined
      0 PD>20021007
```

```
65: Inside Conferences_1993-2010/May 06
>>>Prefix "PD" is undefined
      0 PD>20021007
```

```
99: Wilson Appl. Sci & Tech Abs_1983-2010/Mar
     537388 PD>20021007
```

```
2: INSPEC_1898-2010/May W1
   3634427 PD>20021007

583: Gale Group Globalbase(TM)_1986-2002/Dec 13
     44550 PD>20021007

474: New York Times Abs_1969-2010/May 07
     574000 PD>20021007

475: Wall Street Journal Abs_1973-2010/May 07
     282203 PD>20021007

347: JAPIO_Dec 1976-2010/Jan(Updated 100427)
     2446774 PD>20021007

256: TecTrends_1982-2010/May W1
     25015 PD>20021007

TOTAL: FILES 35,65,99 and ...
       S10 7544357 PD>20021007
```

? s au=jones, phil

```
35: Dissertation Abs Online_1861-2010/Mar
    0 AU=JONES, PHIL

65: Inside Conferences_1993-2010/May 06
    0 AU=JONES, PHIL

99: Wilson Appl. Sci & Tech Abs_1983-2010/Mar
   10 AU=JONES, PHIL

2: INSPEC_1898-2010/May W1
   0 AU=JONES, PHIL

583: Gale Group Globalbase(TM)_1986-2002/Dec 13
>>>Prefix "AU" is undefined
    0 AU=JONES, PHIL

474: New York Times Abs_1969-2010/May 07
    0 AU=JONES, PHIL

475: Wall Street Journal Abs_1973-2010/May 07
    0 AU=JONES, PHIL

347: JAPIO_Dec 1976-2010/Jan(Updated 100427)
    0 AU=JONES, PHIL

256: TecTrends_1982-2010/May W1
    0 AU=JONES, PHIL

TOTAL: FILES 35,65,99 and ...
       S11      10 AU=JONES, PHIL
```

? s au=jones, Philip

## Save-2010-05-07\_110814

```
35: Dissertation Abs Online_1861-2010/Mar
    1 AU=JONES, PHILIP

65: Inside Conferences_1993-2010/May 06
    0 AU=JONES, PHILIP

99: Wilson Appl. Sci & Tech Abs_1983-2010/Mar
    4 AU=JONES, PHILIP

2: INSPEC_1898-2010/May W1
    0 AU=JONES, PHILIP

583: Gale Group Globalbase(TM)_1986-2002/Dec 13
>>>Prefix "AU" is undefined
    0 AU=JONES, PHILIP

474: New York Times Abs_1969-2010/May 07
    1 AU=JONES, PHILIP

475: Wall Street Journal Abs_1973-2010/May 07
    0 AU=JONES, PHILIP

347: JAPIO_Dec 1976-2010/Jan(Updated 100427)
    0 AU=JONES, PHILIP

256: TecTrends_1982-2010/May W1
    0 AU=JONES, PHILIP

TOTAL: FILES 35,65,99 and ...
      S12      6 AU=JONES, PHILIP
```

### ? s au=schoen, Karen

```
35: Dissertation Abs Online_1861-2010/Mar
    0 AU=SCHOEN, KAREN

65: Inside Conferences_1993-2010/May 06
    0 AU=SCHOEN, KAREN

99: Wilson Appl. Sci & Tech Abs_1983-2010/Mar
    0 AU=SCHOEN, KAREN

2: INSPEC_1898-2010/May W1
    0 AU=SCHOEN, KAREN

583: Gale Group Globalbase(TM)_1986-2002/Dec 13
>>>Prefix "AU" is undefined
    0 AU=SCHOEN, KAREN

474: New York Times Abs_1969-2010/May 07
    0 AU=SCHOEN, KAREN

475: Wall Street Journal Abs_1973-2010/May 07
    0 AU=SCHOEN, KAREN

347: JAPIO_Dec 1976-2010/Jan(Updated 100427)
    0 AU=SCHOEN, KAREN

256: TecTrends_1982-2010/May W1
```

0 AU=SCHOEN, KAREN

TOTAL: FILES 35,65,99 and ...

S13 0 AU=SCHOEN, KAREN

? s au=schoen, k

35: Dissertation Abs Online\_1861-2010/Mar

0 AU=SCHOEN, K

65: Inside Conferences\_1993-2010/May 06

0 AU=SCHOEN, K

99: Wilson Appl. Sci & Tech Abs\_1983-2010/Mar

1 AU=SCHOEN, K

2: INSPEC\_1898-2010/May W1

0 AU=SCHOEN, K

583: Gale Group Globalbase(TM)\_1986-2002/Dec 13

>>>Prefix "AU" is undefined

0 AU=SCHOEN, K

474: New York Times Abs\_1969-2010/May 07

0 AU=SCHOEN, K

475: Wall Street Journal Abs\_1973-2010/May 07

0 AU=SCHOEN, K

347: JAPIO\_Dec 1976-2010/Jan(Updated 100427)

0 AU=SCHOEN, K

256: TecTrends\_1982-2010/May W1

0 AU=SCHOEN, K

TOTAL: FILES 35,65,99 and ...

S14 1 AU=SCHOEN, K

? s s3 and s7

35: Dissertation Abs Online\_1861-2010/Mar

5 S3

25 S7

5 S3 AND S7

65: Inside Conferences\_1993-2010/May 06

0 S7

0 S3

0 S3 AND S7

99: Wilson Appl. Sci & Tech Abs\_1983-2010/Mar

0 S7

0 S3

0 S3 AND S7

2: INSPEC\_1898-2010/May W1

```

0  S3
8  S7
0  S3 AND S7

583: Gale Group Globalbase(TM)_1986-2002/Dec 13
15  S3
88  S7
7  S3 AND S7

474: New York Times Abs_1969-2010/May 07
1  S3
13  S7
1  S3 AND S7

475: Wall Street Journal Abs_1973-2010/May 07
0  S3
30  S7
0  S3 AND S7

347: JAPIO_Dec 1976-2010/Jan(Updated 100427)
0  S7
0  S3
0  S3 AND S7

256: TecTrends_1982-2010/May W1
0  S7
0  S3
0  S3 AND S7

TOTAL: FILES 35,65,99 and ...
21  S3
164 S7
S15 13 S3 AND S7

```

# **? s s6 and s7**

```

35: Dissertation Abs Online_1861-2010/Mar
2  S6
25 S7
0  S6 AND S7

65: Inside Conferences_1993-2010/May 06
0  S7
0  S6
0  S6 AND S7

99: Wilson Appl. Sci & Tech Abs_1983-2010/Mar
0  S7
0  S6
0  S6 AND S7

2: INSPEC_1898-2010/May W1
3  S6
8  S7
0  S6 AND S7

583: Gale Group Globalbase(TM)_1986-2002/Dec 13
0  S6
88 S7

```

```

0 S6 AND S7

474: New York Times Abs_1969-2010/May 07
0 S6
13 S7
0 S6 AND S7

475: Wall Street Journal Abs_1973-2010/May 07
0 S6
30 S7
0 S6 AND S7

347: JAPIO_Dec 1976-2010/Jan(Updated 100427)
0 S7
1 S6
0 S6 AND S7

256: TecTrends_1982-2010/May W1
0 S7
0 S6
0 S6 AND S7

TOTAL: FILES 35,65,99 and ...
6 S6
164 S7
S16 0 S6 AND S7

```

### ? s3 and s8

```

35: Dissertation Abs Online_1861-2010/Mar
5 S3
203 S8
0 S3 AND S8

65: Inside Conferences_1993-2010/May 06
0 S3
2 S8
0 S3 AND S8

99: Wilson Appl. Sci & Tech Abs_1983-2010/Mar
0 S3
7 S8
0 S3 AND S8

2: INSPEC_1898-2010/May W1
0 S3
212 S8
0 S3 AND S8

583: Gale Group Globalbase(TM)_1986-2002/Dec 13
15 S3
293 S8
0 S3 AND S8

474: New York Times Abs_1969-2010/May 07
1 S3
42 S8
0 S3 AND S8

```



475: Wall Street Journal Abs\_1973-2010/May 07  
 0 S3  
 41 S8  
 0 S3 AND S8

347: JAPIO\_Dec 1976-2010/Jan(Updated 100427)  
 0 S3  
 26 S8  
 0 S3 AND S8

256: TecTrends\_1982-2010/May W1  
 0 S3  
 2 S8  
 0 S3 AND S8

TOTAL: FILES 35,65,99 and ...  
 21 S3  
 828 S8  
 S17 0 S3 AND S8

? s s9 not s10

35: Dissertation Abs Online\_1861-2010/Mar  
 0 S9  
 0 S10  
 0 S9 NOT S10

65: Inside Conferences\_1993-2010/May 06  
 0 S9  
 0 S10  
 0 S9 NOT S10

99: Wilson Appl. Sci & Tech Abs\_1983-2010/Mar  
 0 S9  
 537388 S10  
 0 S9 NOT S10

2: INSPEC\_1898-2010/May W1  
 0 S9  
 3634427 S10  
 0 S9 NOT S10

583: Gale Group Globalbase(TM)\_1986-2002/Dec 13  
 0 S9  
 44550 S10  
 0 S9 NOT S10

474: New York Times Abs\_1969-2010/May 07  
 0 S9  
 574000 S10  
 0 S9 NOT S10

475: Wall Street Journal Abs\_1973-2010/May 07  
 0 S9  
 282203 S10  
 0 S9 NOT S10

347: JAPIO\_Dec 1976-2010/Jan(Updated 100427)  
 0 S9

```

2446774 S10
0 S9 NOT S10

256: TecTrends_1982-2010/May W1
0 S9
25015 S10
0 S9 NOT S10

TOTAL: FILES 35,65,99 and ...
0 S9
7544357 S10
S18 0 S9 NOT S10

```

? ds

Set	File	Items	Description
	35	8	
	65	2	
	99	1	
	2	5	
	583	4	
	474	0	
	475	0	
	347	0	
	256	0	
S1		20	(FORWARD (20N) CONTRACT) AND (SECURITY OR SECURITIES)
	35	0	
	65	0	
	99	0	
	2	0	
	583	1	
	474	0	
	475	0	
	347	0	
	256	0	
S2		1	REMARKETED (20N) DEBT
	35	5	
	65	0	
	99	0	
	2	0	
	583	15	
	474	1	
	475	0	
	347	0	
	256	0	
S3		21	DEBT (20N) FIXED (20N) COUPON
	35	1	
	65	0	
	99	0	
	2	0	
	583	0	
	474	0	
	475	0	
	347	0	
	256	0	
S4		1	DEBT (20N) FIXED (20N) CASH (20N) COUPON
	35	0	
	65	0	
	99	0	

# Save-2010-05-07\_110814

	2	9	
	583	0	
	474	2	
	475	0	
	347	0	
	256	0	
S5		11	PERIODIC (20N) (PAY OR PAID) (20N) INTEREST
	35	2	
	65	0	
	99	0	
	2	3	
	583	0	
	474	0	
	475	0	
	347	1	
	256	0	
S6		6	PERIODIC (20N) CONTRACT (20N) PAYMENT
	35	25	
	65	0	
	99	0	
	2	8	
	583	88	
	474	13	
	475	30	
	347	0	
	256	0	
S7		164	DEBT (20N) COUPON
	35	203	
	65	2	
	99	7	
	2	212	
	583	293	
	474	42	
	475	41	
	347	26	
	256	2	
S8		828	CALL (5N) OPTION
	35	0	
	65	0	
	99	0	
	2	0	
	583	0	
	474	0	
	475	0	
	347	0	
	256	0	
S9		0	SECURITIZ???? (20N) (SELL (5N) COMMON (5N) (STOCK OR SECURIT????))
	35	0	
	65	0	
	99	537388	
	2	3634427	
	583	44550	
	474	574000	
	475	282203	
	347	2446774	
	256	25015	
S10		7544357	PD>20021007
	35	0	
	65	0	
	99	10	
	2	0	

	583	0	
	474	0	
	475	0	
	347	0	
	256	0	
S11		10	AU=JONES, PHIL
	35	1	
	65	0	
	99	4	
	2	0	
	583	0	
	474	1	
	475	0	
	347	0	
	256	0	
S12		6	AU=JONES, PHILIP
	35	0	
	65	0	
	99	0	
	2	0	
	583	0	
	474	0	
	475	0	
	347	0	
	256	0	
S13		0	AU=SCHOEN, KAREN
	35	0	
	65	0	
	99	1	
	2	0	
	583	0	
	474	0	
	475	0	
	347	0	
	256	0	
S14		1	AU=SCHOEN, K
	35	5	
	65	0	
	99	0	
	2	0	
	583	7	
	474	1	
	475	0	
	347	0	
	256	0	
S15		13	S3 AND S7
	35	0	
	65	0	
	99	0	
	2	0	
	583	0	
	474	0	
	475	0	
	347	0	
	256	0	
S16		0	S6 AND S7
	35	0	
	65	0	
	99	0	
	2	0	
	583	0	
	474	0	

	475	0	
	347	0	
	256	0	
S17		0	S3 AND S8
	35	0	
	65	0	
	99	0	
	2	0	
	583	0	
	474	0	
	475	0	
	347	0	
	256	0	
S18		0	S9 NOT S10

**? s s15 not s10**

35: Dissertation Abs Online\_1861-2010/Mar

5	S15
0	S10
5	S15 NOT S10

65: Inside Conferences\_1993-2010/May 06

0	S15
0	S10
0	S15 NOT S10

99: Wilson Appl. Sci &amp; Tech Abs\_1983-2010/Mar

0	S15
537388	S10
0	S15 NOT S10

2: INSPEC\_1898-2010/May W1

0	S15
3634427	S10
0	S15 NOT S10

583: Gale Group Globalbase(TM)\_1986-2002/Dec 13

7	S15
44550	S10
7	S15 NOT S10

474: New York Times Abs\_1969-2010/May 07

1	S15
574000	S10
1	S15 NOT S10

475: Wall Street Journal Abs\_1973-2010/May 07

0	S15
282203	S10
0	S15 NOT S10

347: JAPIO\_Dec 1976-2010/Jan(Updated 100427)

0	S15
2446774	S10
0	S15 NOT S10

256: TecTrends\_1982-2010/May W1

0	S15
---	-----

```
25015  S10
      0  S15 NOT S10

TOTAL: FILES 35,65,99 and ...
      13  S15
    7544357 S10
    S19      13  S15 NOT S10
```

? rd

```
>>>Duplicate detection is not supported for File 347.

>>>Records from unsupported files will be retained in the RD set.
    S20      13  RD  (unique items)
```

? t /6,k/all

20/6,K/1 (Item 1 from file: 35)  
DIALOG(R)File 35: Dissertation Abs Online  
(c) 2010 ProQuest Info&Learning. All rights reserved.

02112417 ORDER NO: AADAA-IMR07189  
**Pricing convertible bonds using partial differential equations**

**Year:** 2005

A Convertible Bond (CB) is a corporate **debt** security that gives the holder the right to exchange future **coupon** payments and principal repayment for a prescribed number of shares of equity. Thus, it has both an equity part and a **fixed**-income part, and may contain some additional features, such as callability and puttability.

In this ...

20/6,K/2 (Item 2 from file: 35)  
DIALOG(R)File 35: Dissertation Abs Online  
(c) 2010 ProQuest Info&Learning. All rights reserved.

01144981 ORDER NO: AAD91-05096  
**AN INVESTIGATION OF CORPORATE BORROWING STRATEGIES (DEBT MATURITY, FIXED RATE DEBT, FLOATING RATE DEBT)**

**Year:** 1990

...that when insiders have private information about the value of the firm, the choice between **fixed** and floating rate **debt** can convey information about the quality of the firm. The differential implications of **fixed** and floating rate **debt** on the magnitude of agency cost of **debt** entail that it is sometimes feasible to signal favorable inside

information by selecting the **coupon** strategy with the largest expected costs. The model predicts that, ceteris paribus, an increase in interest rate volatility increases the number of high quality firms that signal with **fixed** rate **debt**. The model also predicts that cross-sectionally, the larger the covariance between interest rates and...

20/6,K/3 (Item 3 from file: 35)  
DIALOG(R)File 35: Dissertation Abs Online  
(c) 2010 ProQuest Info&Learning. All rights reserved.

01122917 ORDER NO: AAD90-30390  
**AN ANALYSIS OF CONVERTIBLE DEBT: THEORY AND EVIDENCE (DEBT)**

**Year:** 1990

...is shown that straight debt and equity represent the opposite ends of the spectrum of **fixed** payment obligations incurred by a firm, and are issued by the most superior and most inferior types of firms respectively in a fully separating equilibrium. Convertible **debt** on the other hand permits a tradeoff between the **fixed** payment obligation and the equity component, and is issued by intermediate types of firms. Among the issuers of convertible **debt**, the better firms select higher **coupon** and conversion price to signal their relative superiority.

Empirical testing of the theoretical model is conducted on a sample of 372 underwritten, public issues of convertible **debt** during 1970-1986. Standard event-study methodology confirms the results of earlier authors that convertible... ..size of the issuer, or years to maturity. The total abnormal return attributable to convertible **debt** financing is positively related to the **coupon** rate and conversion price. This supports the hypothesis that there is a signalling motive to...

20/6,K/4 (Item 4 from file: 35)  
DIALOG(R)File 35: Dissertation Abs Online  
(c) 2010 ProQuest Info&Learning. All rights reserved.

845438 ORDER NO: AAD84-12976  
**AN INVESTIGATION INTO CORPORATE DEBT POLICY**

**Year:** 1984

...firm's capital-structure reflects a balancing trade-off between the tax-deductibility advantage of **coupon**-bearing **debt** and the costs generated by bankruptcy.

Three variations of bankruptcy costs are tested in this... ..higher capital intensive firms have lower bankruptcy costs and can support comparatively higher proportions of **debt**; (ii) secondly, corporate **debt** secured by **fixed** assets of the firm reflects a possible transfer of wealth from unsecured creditors to secured debtholders; and (iii) there is a positive net tax-advantage of **coupon**-bearing **debt**.

On a negative note, our cross sectional tests fail to identify any interaction between financing...

20/6,K/5 (Item 5 from file: 35)  
DIALOG(R)File 35: Dissertation Abs Online  
(c) 2010 ProQuest Info&Learning. All rights reserved.

784817 ORDER NO: AAD82-18471  
**IMPACTS OF CHANGING INFLATION EXPECTATIONS ON WEALTH TRANSFERS,  
INVESTMENT BEHAVIOR, AND VALUATION**

**Year:** 1982

...on long-term debt, preferred stock, depreciation, and growth in depreciable assets. The long-term **debt** and depreciation variables are measured using a variant of the concept of weighted average term to maturity or duration. The life of depreciable assets is estimated from net **fixed** assets and current depreciation charges. For outstanding **debt coupon** rates, amounts, and maturity dates are taken from 10K's and annual reports.

Using data...

20/6,K/6 (Item 1 from file: 583)  
DIALOG(R)File 583: Gale Group Globalbase(TM)  
(c) 2002 Gale/Cengage. All rights reserved.

09543648  
**CapitalLand selling \$200m of bonds**

SINGAPORE: CAPITALAND TO RAISE FUNDS THROUGH BONDS  
13 Jun 2001

...by Fitch, while the remaining have lower ratings, ranging from "AA" to "BBB". The "AAA" **debt** will have a **fixed coupon** rate of 3.71%, while the remaining will have coupons of between 3.83% to...

20/6,K/7 (Item 2 from file: 583)  
DIALOG(R)File 583: Gale Group Globalbase(TM)  
(c) 2002 Gale/Cengage. All rights reserved.

09494265  
**GM issues \$100m in Sing-dollar five-year bonds**

SINGAPORE: SINGAPORE DOLLAR BOND BY GM  
24 Mar 2001

...which will be underwritten and lead-managed by Citicorp Investment



Bank (Singapore). The five-year **fixed**-rate bonds will be launched through a funding subsidiary of GM, General Motors Acceptance Corp... ..firs Singapore-dollar bond issue for GM, while the bonds will also boost Singapore's **debt** market. The bonds will have a **coupon** rate of 4.12% which will be payable two times a year. GM will also...

20/6,K/8 (Item 3 from file: 583)  
DIALOG(R)File 583: Gale Group Globalbase(TM)  
(c) 2002 Gale/Cengage. All rights reserved.

09473400

### **Three-Year Bonds**

CHINA: CHINA DEVELOPMENT BANK PLANS BOND ISSUE  
28 Feb 2001

...worth of 3-year bonds would be issued by China Development Bank on the interbank **debt** market. According to Financial News' release, a competitive bidding procedure would be carried out to determine the bond's **fixed coupon** rate.

20/6,K/9 (Item 4 from file: 583)  
DIALOG(R)File 583: Gale Group Globalbase(TM)  
(c) 2002 Gale/Cengage. All rights reserved.

09427623

### **Voksel terbitkan convertible bond US\$27,97 juta**

INDONESIA: VOKSEL TO ISSUE BONDS IN JUNE 2001  
16 Dec 2000

...of 12.5%, the bonds to be floated will be part of the firm's **debt** realignment scheme, noted Voksel's finance director, Rusmin Cahyadi. Other plans proposed under Voksel's **debt** realignment scheme to the Indonesian Bank Restructuring Agency (IBRA) include issuing a 0.5% annual **fixed** interest **coupon** and realigning the US\$ 25-mn debts into a eight-year loan. Voksel owed some...

20/6,K/10 (Item 5 from file: 583)  
DIALOG(R)File 583: Gale Group Globalbase(TM)  
(c) 2002 Gale/Cengage. All rights reserved.

09201215

### **RCL plans issue**

THAILAND: RCL TO ISSUE B 1 BN DEBENTURES  
24 Nov 1999

...able to raise around B 950 mn via the debentures to repay part of its **debt**, finance its expansion plans and as working capital. The debentures will have a five-year maturity and the **coupon** rate will be **fixed** for the first three years between 8.375-9.125%.

20/6,K/11 (Item 6 from file: 583)  
DIALOG(R)File 583: Gale Group Globalbase(TM)  
(c) 2002 Gale/Cengage. All rights reserved.

05124359  
**Companies stir a sleepy corner as they search for the Holy/**

UK - COMPANIES STIR A SLEEPY CORNER AS THEY SEARCH FOR THE HOLY/  
10 June 1992

...first UK non-bank company to raise equity capital like this.  
Preference shares pay a **fixed coupon** like a bond but rank below all **debt** holders in the event of winding up. Interest payments can be suspended if the company...

20/6,K/12 (Item 7 from file: 583)  
DIALOG(R)File 583: Gale Group Globalbase(TM)  
(c) 2002 Gale/Cengage. All rights reserved.

04128177  
**HALIFAX IN GERMAN MARKS MTN PROGRAMME**

UK - HALIFAX IN GERMAN MARKS MTN PROGRAMME  
5 March 1991

...arranged by Deutsche Bank, with Deutsche Bank and WestLB acting as dealers. Under the programme, **fixed**-rate, zero **coupon** notes and floating-rate notes with 2-30 years maturities can be issued by the Halifax. Halifax's **debt** ratings are AA, according to Standard & Poor's, and AA1, according to Moody's.

20/6,K/13 (Item 1 from file: 474)  
DIALOG(R)File 474: New York Times Abs  
(c) 2010 The New York Times. All rights reserved.

00090303 NYT Sequence Number: 015898701120  
(Asst Labor Sec Weidenbaum, holding rising wage costs are now major force

pushing up prices, contends Govt should make 'conscious effort' to create climate in which more reasonable wage-price decisions could be made, s, Natl Regulatory Utility Comrs Assn meeting; predicts unemployment will be higher than anticipated if wage-push inflation continues; says new technique of auctioning securities with fixed coupon, tried successfully earlier this mo, could become fairly routine method for issuance of new coupon securities; says Treas will be able to repay 'some' debt in 1st half of '71 even if fiscal '71 deficit is as high as \$15-billion, s, Las Vegas; says that in current 1/2 yr ending Dec 31, Treas estimates that deficit will be about \$16-billion; por)

Friday November 20 1970

...higher than anticipated if wage-push inflation continues; says new technique of auctioning securities with fixed coupon, tried successfully earlier this mo, could become fairly routine method for issuance of new coupon securities; says Treas will be able to repay 'some' debt in 1st half of '71 even if fiscal '71 deficit is as high as \$15...

? ds

Set	File	Items	Description
	35	8	
	65	2	
	99	1	
	2	5	
	583	4	
	474	0	
	475	0	
	347	0	
	256	0	
S1		20	(FORWARD (20N) CONTRACT) AND (SECURITY OR SECURITIES)
	35	0	
	65	0	
	99	0	
	2	0	
	583	1	
	474	0	
	475	0	
	347	0	
	256	0	
S2		1	REMARKETED (20N) DEBT
	35	5	
	65	0	
	99	0	
	2	0	
	583	15	
	474	1	
	475	0	
	347	0	
	256	0	
S3		21	DEBT (20N) FIXED (20N) COUPON
	35	1	
	65	0	
	99	0	
	2	0	
	583	0	
	474	0	
	475	0	

# Save-2010-05-07\_110814

	347	0	
	256	0	
S4		1	DEBT (20N) FIXED (20N) CASH (20N) COUPON
	35	0	
	65	0	
	99	0	
	2	9	
	583	0	
	474	2	
	475	0	
	347	0	
	256	0	
S5		11	PERIODIC (20N) (PAY OR PAID) (20N) INTEREST
	35	2	
	65	0	
	99	0	
	2	3	
	583	0	
	474	0	
	475	0	
	347	1	
	256	0	
S6		6	PERIODIC (20N) CONTRACT (20N) PAYMENT
	35	25	
	65	0	
	99	0	
	2	8	
	583	88	
	474	13	
	475	30	
	347	0	
	256	0	
S7		164	DEBT (20N) COUPON
	35	203	
	65	2	
	99	7	
	2	212	
	583	293	
	474	42	
	475	41	
	347	26	
	256	2	
S8		828	CALL (5N) OPTION
	35	0	
	65	0	
	99	0	
	2	0	
	583	0	
	474	0	
	475	0	
	347	0	
	256	0	
S9		0	SECURITIZ???? (20N) (SELL (5N) COMMON (5N) (STOCK OR SECURIT????))
	35	0	
	65	0	
	99	537388	
	2	3634427	
	583	44550	
	474	574000	
	475	282203	
	347	2446774	

	256	25015	
s10	7544357		PD>20021007
	35	0	
	65	0	
	99	10	
	2	0	
	583	0	
	474	0	
	475	0	
	347	0	
	256	0	
s11		10	AU=JONES, PHIL
	35	1	
	65	0	
	99	4	
	2	0	
	583	0	
	474	1	
	475	0	
	347	0	
	256	0	
s12		6	AU=JONES, PHILIP
	35	0	
	65	0	
	99	0	
	2	0	
	583	0	
	474	0	
	475	0	
	347	0	
	256	0	
s13		0	AU=SCHOEN, KAREN
	35	0	
	65	0	
	99	1	
	2	0	
	583	0	
	474	0	
	475	0	
	347	0	
	256	0	
s14		1	AU=SCHOEN, K
	35	5	
	65	0	
	99	0	
	2	0	
	583	7	
	474	1	
	475	0	
	347	0	
	256	0	
s15		13	S3 AND S7
	35	0	
	65	0	
	99	0	
	2	0	
	583	0	
	474	0	
	475	0	
	347	0	
	256	0	
s16		0	S6 AND S7

	35	0	
	65	0	
	99	0	
	2	0	
	583	0	
	474	0	
	475	0	
	347	0	
	256	0	
S17		0	S3 AND S8
	35	0	
	65	0	
	99	0	
	2	0	
	583	0	
	474	0	
	475	0	
	347	0	
	256	0	
S18		0	S9 NOT S10
	35	5	
	65	0	
	99	0	
	2	0	
	583	7	
	474	1	
	475	0	
	347	0	
	256	0	
S19		13	S15 NOT S10
	35	5	
	65	0	
	99	0	
	2	0	
	583	7	
	474	1	
	475	0	
	347	0	
	256	0	
S20		13	RD (unique items)